



# CREATIVE PLANNING

5. Relationship with Referral Sources. Despite Law Firm's referral relationship with other professionals, including Creative Planning, Inc., Creative Planning Risk Services, Inc., and Creative Planning Tax, LLC, it does not share its fees with other professionals. Although no differences of opinion are foreseeable at this time, the Law Firm's obligation of loyalty to the Client will require Law Firm to notify Client of any differences of professional opinion that may arise between Law Firm and Client's other advisors in the future.

6. Electronic Communications. You have authorized Law Firm to communicate with you via email and other electronic means. You acknowledge that communication by electronic means is subject to interception by others, as is mail and other forms of communication.

7. Discharge and Withdrawal. Client may discharge Law Firm at any time. Law Firm may withdraw from the representation with Client's consent or for good cause. Good cause includes Client's breach of this Agreement, refusal to cooperate or to follow advice on a material matter or any fact or circumstance that would render continuing representation unlawful or unethical. Upon termination of the representation, Law Firm shall promptly provide Client a final invoice, which may include reasonable costs and expenses incurred in transitioning the matter to other counsel.

8. Change of Law or Circumstance. Client understands and agrees that nothing in this agreement and nothing in statements made to Client by Law Firm will be construed as a promise to notify Client or keep Client apprised of changes in the law that might affect the Client's estate plan or related documents. It is Client's obligation to contact Law Firm for future review of the estate plan and related documents. It is strongly recommended that Client review Client's estate plan every three to five years, upon the birth of a child, upon the death or incapacity of a family member, named fiduciary or named beneficiary, upon a significant change in financial circumstances, retirement, upon a move to another state, upon the development of a serious health problem or upon the dissolution of marriage.

9. Dispute Resolution. Unless prohibited by applicable state law or professional rules, in the event that a dispute arises between the parties to this Agreement, upon notice by any party, the dispute shall be referred to the American Arbitration Association for arbitration in accordance with the provisions of its rules of arbitration and any applicable law. The arbitrator's decision shall be final and legally binding and judgment may be entered thereon. Each party shall be responsible for its share of the arbitration fees. In the event a party fails to proceed with arbitration, unsuccessfully challenges the arbitrator's award, or fails to comply with the arbitrator's award, the other party is entitled to costs of suit, including a reasonable attorney's fee for having to compel arbitration or defend or enforce the award. All arbitration hearings will be held where mutually agreed upon by the parties, or in the event that no mutual agreement is reached, at a location selected by the Client within the Client's state of residency.

THIS AGREEMENT CONTAINS A BINDING ARBITRATION PROVISION WHICH MAY BE ENFORCED BY THE PARTIES UNLESS PROHIBITED BY APPLICABLE STATE LAW OR PROFESSIONAL RULES.

Date: 10/27

[Signature]  
Client #1 Signature

[Signature]  
Client # 2 Signature (if applicable)



**CREATIVE  
PLANNING**

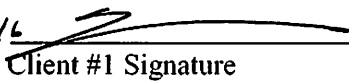

**Dual Representation Addendum to Engagement Agreement**  
**(required for estate plans for couples)**

It is customary for couples in a committed relationship to employ the same attorney or law firm to assist them with their estate planning. It is important for you to understand that since we are representing both of you, each of you is our client. As a result, matters that one of you might discuss with us are not protected by the attorney-client privilege from disclosure to the other.

The Attorney's Rules of Professional Conduct prohibit an attorney from agreeing with one of you to withhold information from the other. Anything either of you discuss with us is privileged from disclosure to other parties. If both of you have a difference of opinion about your proposed estate plan, we can point out the pros and cons of those different opinions. However, the Rules prohibit us as the lawyers for both of you, from advocating or favoring one of your positions over the other.

Although unlikely, if conflicts arise between you which in our professional judgment make it impossible to perform our duties to both of you as stated in this agreement, the Rules of Professional Conduct require us to withdraw as your joint attorney and to advise one or both of you to obtain independent counsel.

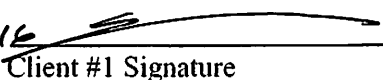

Please sign below to acknowledge that you have read and understand this addendum and that you wish for our firm to proceed with your joint representation.

Date: 10/27/2016  \_\_\_\_\_ Client #1 Signature  \_\_\_\_\_ Client #2 Signature

**Division of Assets Addendum to Engagement Agreement**  
**(required for two trust estate plans for couples)**

You have engaged our firm to perform certain services for you related to the development of a comprehensive estate plan which considers both tax and non-tax related objectives. You have been advised and understand that couples, including spouses, can have differing, and sometimes conflicting, interests and objectives regarding their estate planning. You also understand that in some situations, it is recommended that property holdings be restructured to take advantage of available tax benefits, which may involve transfers of property from one spouse to the other.

Please sign below to acknowledge that in the event that any current or future property holdings are altered, changed or modified in any manner, such changes shall in no manner be interpreted as binding, controlling or as an indication of your desired division of property in the event of divorce or legal separation. Any such division or transfer of property is merely undertaken as part of your overall estate plan to take advantage of available tax benefits and to accomplish non-tax objectives which can only be realized by such arrangement. For example, in the event an asset which has been held by you two as joint tenants with rights of survivorship is retitled in the name of one party only, or an asset formerly held by one party is transferred in part or whole to the other, such retitling shall have no binding effect as to the disposition of such asset in the event of your divorce or legal separation.

Date: 10/27/2016  \_\_\_\_\_ Client #1 Signature  \_\_\_\_\_ Client #2 Signature



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Date: 10/27/2016

\_\_\_\_\_  
Client #1 Signature

\_\_\_\_\_  
Client # 2 Signature (if applicable)



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business entity filings will be the responsibility of Client and are not included in the Fee. Amendments or revisions to documents subsequent to execution of draft documents provided to Client are not included in the Fee. If Client fails to make payment to Law Firm upon request following the provision of draft documents to Client, Client understands that Client is responsible for any fees, including, but not limited to, attorneys' fees and court costs, associated with the collection of payment. Law Firm does not charge for consultations regarding asset transfers into revocable living trusts (excluding for work involved in directly assisting with real estate transfers, business entity transfers and intellectual property transfers) or for consultations with Client's appointees (executor, trustee, etc.) and Client's other advisors about revocable living trust plans.

3. Outside Counsel. One or more of the attorneys of Law Firm are licensed to practice law in the states of Missouri, Kansas, California, Florida, Texas, New York, New Jersey, Washington and Pennsylvania. In furtherance of the legal services provided by Law Firm under this Agreement, it may become necessary to associate with outside counsel licensed in the jurisdiction of Client's domicile or in jurisdictions where Client owns real estate or other property. Law Firm agrees to pay any fees or charges it incurs, which may be based on either a flat fee or an hourly rate fee arrangement, hiring outside counsel. If Client is not domiciled within a state in which one or more attorneys of Law Firm are licensed to practice law or the Client owns real estate or other property in such a state, Client authorizes Law Firm to retain outside counsel licensed to practice law in such states as necessary and to disclose all relevant information to such outside counsel assisting with Client's estate plan.

4. Third Parties. In furtherance of the legal services provided by the Law Firm under this Agreement, it may become beneficial to Client for Law Firm to disclose information that would otherwise be subject to the attorney-client privilege to Client's other professional advisors, including accountants, wealth managers and insurance agents. No such disclosures may be made by Law Firm to Client's other professional advisors, or anyone else, without Client's express consent below or by a subsequent consent by Client. Any such disclosed information may no longer be subject to the attorney-client privilege and could potentially be subject to judicially-enforced disclosures to other parties in the future. Law Firm cannot presently foresee any disclosures being of detriment to Client, but Law Firm will consult with Client beforehand in the event that a disclosure is being considered and Law Firm is of the opinion that the disclosure may be of detriment to Client.

**Please initial one:**

**Client authorizes the Law Firm to discuss potential attorney-client privileged information and confidential information with the following of Client's other professional advisors and recognizes the risks inherent with such disclosures: all employees of Creative Planning, Inc., Creative Planning Risk Services, Inc. and Creative Planning Tax, LLC.**

Client #1's Initials: AF Client #2's Initials (if applicable): W

**OR**

**Client does NOT authorize Law Firm to discuss confidential information with Client's other professional advisors.**

Client #1's Initials: FF Client #2's Initials (if applicable): W



Attorney: Daniel Sprout

Client: Nan-Hsun Wang and Yi-Fang Lin

**Will Package**

*Simple Last Will and Testament (does not include testamentary trust terms or estate tax planning unless noted in Additional Items), Durable General Financial Power of Attorney, Durable Health Care Power of Attorney/Proxy (including living will/advance directive and HIPAA waiver), Specific Gift List Form*

Single Person \$450 \_\_\_\_\_ Couple \$700 \_\_\_\_\_

**Revocable Living Trust Package**

*Revocable Living Trust (does not include testamentary trust terms or estate tax planning unless noted in Additional Items), Pour-Over Last Will and Testament, Durable General Financial Power of Attorney, Durable Health Care Power of Attorney/Proxy (including living will/advance directive and HIPAA waiver), Assignment of Tangible Personal Property, Specific Gift List Form, and One (1) Real Estate Deed*

Single Person \$1,310 \_\_\_\_\_ Couple \$1,660  X

**Additional Items**

Testamentary Trusts	\$300	<u> X </u>	Powers of Attorney (one set)	\$135	_____
Estate Tax Planning (CST or disclaimer)	\$300	<u> X </u>	QTIP Provisions	\$50	_____
Special Needs Provisions	\$300	_____	QDOT Provisions	\$75	_____
Non-CPL Amendment or Codicil	\$260-\$560	_____	KS and MO Deeds	\$125 ea.	_____
CPL Amendment or Codicil	\$225-\$525	_____	Non-KS or MO Deeds	\$200 ea. (est.)	<u> 40 </u>
Irrevocable Trust	\$1575 ea.	_____	CRT or GRAT	\$1,575-\$2,500 ea.	_____
Foundation	\$3,000-\$5,000 ea.	_____	Buy-Sell Agreements	\$1,500-\$3,000 ea.	_____
LLC	\$800 ea.	_____	LP, LLP or LLLP	\$1,600 ea.	_____

Other Items/Notes: \_\_\_\_\_

**Engagement Agreement**

Creative Planning Legal, P.A. ("Law Firm") and Nan-Hsun Wang and Yi-Fang Lin ("Client") agree to the following terms for the engagement of Law Firm by Client for the provision of legal services ("Agreement"):

1. **Start of Engagement and Scope of Engagement.** This Agreement will not take effect and Law Firm will take no action on behalf of Client until Law Firm receives a signed original or copy of this Agreement from Client by email, fax or mail. Once this Agreement is effective, Law Firm will prepare the document noted above, which shall include necessary analysis and communication with the Client to develop the documents, the drafting of the documents, review of the documents with Client, modifications to the documents prior to execution, advice on the execution of the documents (or direct assistance with the execution of the documents if Client visits Law Firm's office) and, if necessary, the provision of directions on asset titling. Law Firm will also assist with asset titling with respect to revocable living trust plans if specifically requested by Client and Client provides all relevant information, documents and forms (please note that directly assisting with real estate transfers, business entity transfers and intellectual property transfers, that are not noted above in Additional Items may incur additional fees beyond the Fee, as defined below). Otherwise, Client bears all responsibility for asset titling. Failing to properly title assets may result in probate, increased income and estate taxes and distribution of assets to unintended parties.

2. **Fee for Services.** The following total legal fee is due upon the provision of drafts of the documents noted above to Client: \$3,060 ("Fee"). Any state or county fees associated with deed or

or \$2260 without Non-KS/MO Deeds